

# Regulations on the Establishment and Operation of Affiliated Research Centers

Established Jun. 1, 2001  
Amended Apr. 14, 2009  
Amended Aug. 23, 2013  
Amended Sep. 7, 2016

## Chapter 1 General Provisions

**Article 1 (Purpose)** These regulations specify the necessary matters for the establishment and operation of a research center in the University for the sound development of each research center.

**Article 2 (Classification)** Research centers shall be classified into university-affiliated research centers, which are established with the approval of the Chairman of the Board of Trustees, and university-approved research centers, which are founded with the approval of the President of the University.

## Chapter 2 Establishment

**Article 3 (Establishment)** ① The following documents must be submitted for the establishment of a research center:

1. Details of the operation of a research center, specifying its name, purpose, business, organization, researchers, steering committee, finance, etc.
2. Establishment plans and budgets

② The following are the assessment criteria for the establishment of a research center:

1. Its research field must agree with the University's research objectives and direction; the need for support of its development must be recognized.
2. The necessity of establishing an independent research institute, such as advantage in attracting external research funds, improvement of the University's reputation, promotion of joint research, etc., must be recognized.
3. The research center must have the ability to secure five or more tenure-track/tenured (participating) faculty members or five or more full-time researchers and a certain amount of external research funds.
4. The business plan of the research center must be feasible and practical to fulfill its duties and functions as a research center.
5. The research center must be able to self-support its own expenses including salaries and wages, space, and operating costs.

③ The establishment of a university-approved research center and a university-affiliated research center shall be approved by the President of the University and the POSTECH Foundation, respectively, following deliberations by the Research & Industrial Relations Committee.

## Chapter 3 Organization and Structure

**Article 4 (Organization)** A research center may have supporting organizations such as an administrative team, department, or chamber for its business with approval of the President of the University. (Amended September 7, 2016)

**Article 5 (Major Positions)** ① A research center shall have a director who represents the center and supervises relevant duties.

② A research center may have an assistant director who assists the director and act as a proxy if the director is involved in an accident.

③ A research center may have a head of department (chamber/team) who supervises relevant duties.

④ A research center may have personnel necessary for achieving its objective.

## Chapter 4 Operation

**Article 6 (Finance)** Finances of a research center shall be obtained from the following sources:

1. Research funds supported by the government, local government, industries, etc.
2. Income from industry-academia cooperation (businesses, equipment rental, etc.)
3. Other income

**Article 7 (Management of Finance)** ① Finance of a research center shall be managed and operated by the director.

② In principle, accounting and budgeting of a research center shall follow the procedure of the University.

**Article 8 (Steering Committee)** ① Each research center may have its own steering committee to review and discuss matters concerning the establishment of research plans, selection of research projects, implementation of research initiatives, and evaluation of the results of research.

② The necessary matters with respect to the composition and operation the steering committee and its resolutions shall be determined separately by the director of the center.

## Chapter 5 Evaluation

**Article 9 (Evaluation)** ① Evaluation may be conducted to examine whether a research center's objective has been achieved.

② Matters on evaluation, inspection period, method, and application of result shall follow the Guidelines on Operating and Assessing Affiliated Research Centers.

**Article 10 (Application of Evaluation Results)** ① An excellent research center may be given more support in the form of research funds, reduced teaching obligations, administrative personnel, and additional laboratory space, etc. within the available range as decided by the President of the University.

② For an under-achieving research center, support from the University may be reduced, or the center may be merged into a research center of a similar nature or closed down as decided by the President of the University.

## Chapter 6 Other

**Article 11 (Independent Profit Center)** Matters on operation and evaluation of an independent profit center shall follow these regulations, but detailed matters shall follow Regulations on the Operation of Independent Profit Centers.

**Article 12 (Enactment of Detailed Rules)** Other important matters unspecified in these regulations regarding a research center shall be determined by detailed rules, processed through deliberation of the Steering Committee, and executed by the director with approval of the President of the University.

**Article 13 (Mutatis Mutandis Application)** Matters other than those specified in these regulations shall follow the resolutions of the Research & Industry Relations Committee and the decisions by the President of the University.

### **Addendum**

These regulations shall be established and take effect on June 1, 2001.

### **Addendum**

These amended regulations shall take effect on April 14, 2009.

### **Addendum**

These amended regulations shall take effect on August 23, 2013.

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These amended regulations shall take effect on September 7, 2016.